



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2153  
#2

In re application of: **Mastrianni et al.**

Serial No.: **09/865,249**

Filed: **May 25, 2001**

For: **Method and Apparatus for the Automatic Migration of Applications and Their Associated Data and Configuration Files**

**35526**

PATENT TRADEMARK OFFICE  
CUSTOMER NUMBER

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Group Art Unit: **Unknown**

Examiner: **Unknown**

Attorney Docket No.: **YOR920010276US1**

Certificate of Mailing Under 37 C.F.R. § 1.8(a)

I hereby certify this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on March 8, 2004.

By:

*Dell Whitton*  
Dell Whitton

**RECEIVED**

**MAR 15 2004**

**Technology Center 2100**

**TRANSMITTAL DOCUMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

ENCLOSED HEREWITH:

- Information Disclosure Statement;
- Form PTO-1449; and
- Our return postcard.

No fees are believed to be necessary. If, however, any fees are required, I authorize the Commissioner to charge these fees which may be required to Deposit Account No. 50-0510. No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and I authorize the Commissioner to charge any fees for this extension to Deposit Account No. 50-0510.

Respectfully submitted,

*Duke W. Yee*  
Duke W. Yee

Registration No. 34,285

CARSTENS, YEE & CAHOON, LLP

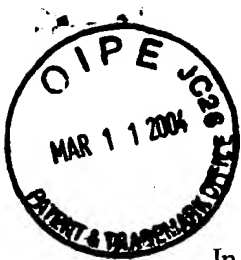
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ATTORNEY FOR APPLICANTS

**MAR 17 2004**



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re application of: **Mastrianni et al.**

§

§ Group Art Unit: **Unknown**

MAR 15 2004

Serial No.: **09/865,249**

§

§ Examiner: **Unknown**

Technology Center 2100

Filed: **May 25, 2001**

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§ Attorney Docket No.: **YOR920010276US1**

For: **Method and Apparatus for  
the Automatic Migration of Applications  
and Their Associated Data and  
Configuration Files**

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**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

Please charge any fees necessary for prosecution of the present application to Deposit Account No. 50-0510. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to Deposit Account No. 50-0510.

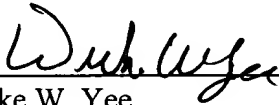
In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure

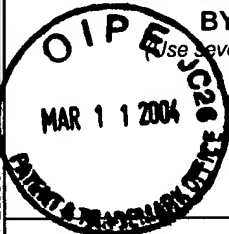
Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) within three months of the filing date of the application, or before the mailing date of a first office action on the merits. No fee is required.

Date: 3/8/04

Respectfully submitted,

  
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<b>Form PTO-1449</b>  <b>LIST OF PRIOR ART CITED BY APPLICANT</b> <i>(Use several sheets if necessary)</i>		ATTORNEY DOCKET NO. <b>YOR920010276US1</b>		SERIAL NO. <b>09/865,249</b> <div style="text-align: center; font-size: 1.5em; font-weight: bold;">RECEIVED</div> <div style="text-align: center;">MAR 15 2004</div>	
		APPLICANT <b>Mastrianni et al.</b>		<b>Technology Center 2100</b>	
		FILING DATE <b>May 25, 2001</b>		GROUP ART UNIT <b>Unknown</b>	
U.S. PATENT DOCUMENTS					
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	INVENTOR NAME	CLASS/ SUBCLASS	FILING DATE
	AA 5,822,780	Oct. 13, 1998	Schutzman	711/165	Dec. 31, 1996
	AB 6,145,044	Nov. 7, 2000	Ogura	710/126	Sep. 28, 1998
	AC 6,321,219	Nov. 20, 2001	Gainer et al.	707/3	Aug. 14, 1998
	AD 5,948,062	Sep. 7, 1999	Tzelnic et al.	709/219	Nov. 13, 1996
	AE 5,423,022	Jun. 6, 1995	Ackley	395/500	Oct. 3, 1988
	AF 6,453,354 B1	Sep. 17, 2002	Jiang et al.	709/229	Mar. 3, 1999
	AG 6,381,615 B2	Apr. 30, 2002	Gaither et al.	707/200	Dec. 1, 2000
	AH 6,195,650 B1	Feb. 27, 2001	Gaither et al.	707/1	Feb. 2, 2000
	AI 6,356,915 B1	Mar. 12, 2002	Chtchetkine et al.	707/200	Feb. 22, 1999
	AJ 6,654,769 B2	Nov. 25, 2003	Ito et al.	707/200	Dec. 20, 2000
	AK 6,327,703 B1	Dec. 4, 2001	O'Donnell et al.	717/5	Feb. 26, 1999
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	AM 2001/0025311 AI	Sep. 27, 2001	Arai et al.	709/225	Mar. 22, 2001
FOREIGN PATENT DOCUMENTS					
EXAMINER INITIAL	DOCUMENT NO.	PUBLICATION DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES NO
OTHER PRIOR ART (including author, title, date, pertinent page, etc.)					
RELATED PATENT APPLICATIONS					
EXAMINER INITIAL	APPLICATION NO./ ATTY. DOCKET NO.	APPLICANT	TITLE	FILING DATE	
DATE CONSIDERED			EXAMINER		
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP § 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					